

NATA Research & Education Foundation
 Research Committee Practices for Mitigating Conflicts of Interest

COI Description	COI Type	Committee Practice
Submit as PI or Co-PI on grant application	Major	Committee member can submit the application, but will be recused from all review, discussion, and voting for all applications within the grant category ¹
Named as Co-I on grant application	Major	Committee member can be named on the application, but will be recused from all review, discussion, and voting for all applications within the grant category ¹
Named as Consultant on grant application	Major	Committee member can be named on the application, but will be recused from all review, discussion, and voting for all applications within the grant category ¹
Provides ² a letter of support for a grant application	Major	Committee member can provide a letter of support, but will be recused from all review, discussion, and voting for all applications within the grant category ¹
Committee member or a close family member has a direct or indirect financial interest or would receive a direct financial benefit if an application is funded	Major	Committee member will be recused from all review, discussion, and voting for all applications within the grant category ¹

¹ Grant category refers to a specific classification of awards for which there is a distinct RFP, eligibility criteria, and/or monetary support (e.g., General, New Investigator, Education, LGBTQ+, Doctoral, Masters, etc.).

² Providing a letter of support indicates the committee member is the individual who signs the letter. Letters of support coming from the committee member's institution or institutional component (for multicomponent institutions) that are not signed by the committee member do not fall within this COI category.

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The PI or anyone else on the application with a major professional role (PI, Co-I, Collaborator) is from the committee member's institution or institutional component for multicomponent institutions	Minor	<p>Committee member will be recused from review, discussion³, and voting for only the application with which they have a COI.</p> <p>It is also permissible for the committee member to serve as lead reviewer for another application within the grant category¹. The Research Committee Chair will evaluate the specific nature of the COI and determine whether the committee member should be recused as a lead reviewer. The COI and the Chair's decision shall be shared with all committee members.</p>
Within the past three years, the committee member has had an active collaborative relationship ⁴ with any person on the application who has a major professional role	Minor	<p>Committee member will be recused from review, discussion³, and voting for only the application with which they have a COI.</p> <p>It is permissible for the committee member to serve as lead reviewer for another application in the grant category¹. The Research Committee Chair will evaluate the specific nature of the COI and determine whether the committee member should be recused as a lead reviewer. The COI and the Chair's decision shall be shared with all committee members.</p>
Individual has provided insight on the grant, but is not named on the application.	Minor	<p>Committee member will be recused from review, discussion³, and voting for only the application with which they have a COI.</p> <p>It is permissible for the committee member to serve as lead reviewer for another application in the grant category¹. The Research Committee Chair will evaluate the specific nature of the COI and determine whether the committee member should be recused as a lead reviewer. The COI and the Chair's decision shall be shared with all committee members.</p>

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³ It is permissible for the committee to call upon the recused committee member to answer specific content-related questions should no other participant in the discussion have the requisite expertise to do so.

⁴ Active collaborative relationship is defined as any collaboration on scholarly projects or grants, teaching, service, or mentorship of a nature that it creates a bias or the perception of a bias that could call into question the objectivity of the review process.